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 9 Attorneys for Defendants
 10 UBS Financial Services Inc. (also sued as UBS/Paine
 11 Webber) and UBS PartnerPlus Plan (erroneously sued as
 12 UBS/Paine Webber Partner's Plus)

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UNITED STATES DISTRICT COURT
 NORTHERN DISTRICT OF CALIFORNIA
 SAN FRANCISCO DIVISION

13 Alva Gene Thaning,
 14 Plaintiff,
 15 v.
 16 UBS/Paine Webber, UBS/Paine Webber Partner's
 Plus, UBS Financial Services, Inc.,
 17 Defendants.

No. 07-5528 MJJ

**APPENDIX OF SELECT
 AUTHORITIES CITED IN
 DEFENDANTS' MOTION TO
 COMPEL ARBITRATION AND
 STAY PROCEEDINGS**

Date: February 26, 2008
 Time: 9:30 a.m.
 Place: Courtroom 11, 19th Flr.
 Judge: Hon. Martin J. Jenkins

1 Defendants UBS Financial Services Inc., (also sued as UBS/Paine Webber) and
 2 UBS PartnerPlus Plan (erroneously sued as UBS/Paine Webber Partner's Plus) submit the
 3 following select authorities cited in Defendants' Motion to Compel Arbitration and Stay
 4 Proceedings:

5 1. Masucci, *Securities Arbitration - A Success Story: What Does the Future*
 6 *Hold?*, 31 Wake Forest Law Review, 183 (1996)

7 2. *Ottoman v. Fadden*, 575 N.W.2d 593, 594 (Minn. Ct. App. 1998)

8 3. NASD Code of Arbitration Procedure for Industry Disputes § 13200

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10 DATED: January 11, 2008 Bingham McCutchen LLP

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13 By: _____ /s/ Walter M. Stella
 14 Walter M. Stella
 15 Attorneys for Defendants
 16 UBS Financial Services Inc (also sued as
 17 UBS/Paine Webber) and UBS/Paine Webber
 18 Partner's Plus (erroneously sued as UBS/Paine
 19 Webber Partner's Plus)

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